Docket No.: 5776P006



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

DON TEAGUE, ET AL.

Application No.: 10/624,837

Filed: July 21, 2003

For: METHOD AND SYSTEM TO PROCESS

A TRANSACTION IN A NETWORK BASED COMMERCE FACILITY Art Group: Unassigned

Examiner: Unassigned

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

-1- 5776P006

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Applicant has concurrently submitted an electronic Information Disclosure Statement (IDS) for the above-referenced patent application. In the concurrently filed electronic IDS submission, it was requested that any requisite fees be debited from Deposit Account 02-2666. Applicant respectfully requests that only one fee be debited for these concurrently filed IDS submissions if such fees are required.

Respectfully submitted,

BLAKELY, SOKOLØFF, TAYLOR & ZAFMAN LLP

Tarek N. Fahmi, Reg. No. 41,402

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025 (408) 947-8200

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dawn Shaw

Substitut for Form 1449/PTO						C mplete if Known					
INFORMATION DISCLOSURE  STATEMENT BY APPLICANT  (use as many sheets as necessary)				LOSH	RF	Application Number 10/624,837					
						Filing Date	07/21/2003				
					NT	First Named Inventor:	Don Teague				
				ssary)		Art Unit Unassigned					
						Examiner Name	Unassigned				
Sheet 1, 4 of 1						Attorney Docket Number	5776.P006				
ا م	SEY			NON PAT	ENT LIT	ERATURE DOCUMENTS					
Examiner Cite Include name of the author (in CAPITAL LETTERS), title of the item (book, magazine, journal, serial, symposium, catalog number(s), publisher, city and/or country						rial, symposium, catalog, etc.), isher, city and/or country where	atalog, etc.), date, page(s), volume-issue				
		Databas	e of So	ftware Ted	chnologie	Convenience and Protection s; 1978; p. 1-3 USA, web pare&csum=110184817619., (19					
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Examiner			<u></u>				Date				
Signature							Considered				

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

II E		^ <b>~ ~ .</b> .	Application No.	10/624,837			
TRANSM (to be used for all corr			Filing Date	July 21, 2003			
(to be used for all corr	respondence afte	er initial filing)	First Named Inventor	Don Teague Unassigned Unassigned			
			Art Unit				
			Examiner Name				
Total Number of Page	es in This Submissi	on 6	Attorney Docket Number	5776P006			
	ENCLO	SURES (chec	ck all that apply)				
Fee Transmittal Form	n	Drawing(s	)	After Allowance Communication to Group			
Fee Attached		Licensing-	related Papers	Appeal Communication to Board of Appeals and Interferences  Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Other Enclosure(s) (please identify below):			
Amendment / Respo	onse	Petition					
After Final Affidavits/dec	laration(s)	Petition to Provisiona	Convert a Il Application				
Extension of Time R	Paruset	Power of A Change of	Attorney, Revocation f Correspondence Address				
		Terminal [	Disclaimer				
Express Abandonme		Request fo	or Refund	Return Postcard			
Information Disclosu	ire Statement		per of CD(s)	Copies of Cited Art (4)			
Certified Copy of Pri	iority	CD, Numb	el di CD(s)				
Response to Missing Incomplete Application	g Parts/ ion						
Basic Fili		Remarks					
Declarati	on/POA						
Response to Parts under 3 1.52 or 1.53	Missing 37 CFR						
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT							
Firm	Tarek N. Fahm	i, Reg. No. 41/402					
or Individual name BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP							
Signature		7 (					
Date	10/	12/13					
CERTIFICATE OF MAILING/TRANSMISSION							

Date

Signature



## FEE TRANSMITTAL For FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

(\$) 0.00

Complete if Known						
Application Number	10/624,837					
Filing Date	July 21, 2003	· -				
First Named Inventor	Don Teague	· · · · · · · · · · · · · · · · · · ·				
Examiner Name	Unassigned					
Group/Art Unit	Unassigned					
Attorney Docket No.	5776P006					

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)							
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None	3. A	DDITIO	NAL	FEES				
Deposit Account	Large	e Entity	Sma	ll Entity				
	Fee	Fee	Fee	Fee				
Deposit Account 02-2666	Code	(\$)	Code	(\$)	Fee Description			Fee Paid
Number	1051 1052	130 50	2051 2052	65 25	Surcharge - late filing fee or oath Surcharge - late provisional filing fee	or	j	
Deposit Account Blakely, Sokoloff, Taylor & Zafman LLP	,,,,,				cover sheet.			
Name Blakery, Sokololi, Tuylor & Zamilan BB1	2053	130	2053	130	Non-English specification			
The Commissioner is authorized to: ( check all that apply)	1812 1804	2,520 920 °	1812	2,520	For filing a request for ex parte reexage Requesting publication of SIR prior to			
Charge fee(s) indicated below Credit any overpayments	1004	020	1004	020	Examiner action			
Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.	1805	1,840 *	1805	1,840 1	Requesting publication of SIR after Examiner action			
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account		440		55	Extension for reply within first month			
FEE CALCULATION	1251	110 420	2251	210	Extension for reply within second mo	nth		
	1252 1253	950	2252 2253	475	Extension for reply within third month			
1. BASIC FILING FEE Large Entity Small Entity	1253	1,480	2253	740	Extension for reply within fourth mont			
Fee Fee Fee Fee Description Fee Paid	1255	1,210	2255	605	Extension for reply within fifth month			
Code (\$) Code (\$)	1404	330	2401	165	Notice of Appeal			
1001 770 2001 385 Utility filing fee	1402	330	2402	165	Filing a brief in support of an appeal			
1002 340 2002 170 Design filing fee	1403	290	2403	145	Request for oral hearing			
1003 530 2003 265 Plant filing fee	1451	1,510	2451	1,510	Petition to institute a public use proc	eeding		
1005 160 2005 80 Provisional filing fee	1452	110	2452	55	Petition to revive - unavoidable			
SUBTOTAL (1) (\$)	1453	1,330	2453	665	Petition to revive - unintentional			
(0)	1501	1,330	2501	665	Utility issue fee (or reissue)			
2. EXTRA CLAIM FEES Extra Fee from	1502	480	2502	240	Design issue fee			
Total Claims below Fee Paid  Total Claims = = = = = = = = = = = = = = = = = = =	1503	640	2503	320	Plant issue fee			
Total Claims Independent Claims  3	1460 1807	130	2460 1807	130	Petitions to the Commissioner Processing fee under 37 CFR 1.17(q			
Claims 3 X = X = House State S	1806	50 180	1806	180	Submission of Information Disclosure			
Large Entity   Small Entity	8021	40	8021	40	Recording each patent assignment p			
Fee Fee Fee Fee Description	9921	,,,	002	10	property (times number of properties			
-Code (5) Code (5)  1202 18 2202 9 Claims in excess of 20	1809	770	1809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	n		
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3	1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))			
1203 290 2203 145 Multiple Dependent claim, if not paid	1801	770	2801	385	Request for Continued Examination (	RCE)		
1204 86 2204 43 **Reissue independent claims over original patent	1802	900	1802	900	Request for expedited examination	· ·		
1205 18 2205 9 **Reissue claims in excess of 20 and over	Other fe	e (specify)	l	Amer	of a design application			0.00
original patent								
SUBTOTAL (2) (\$)	*Reduced	by Basic Filin	g Fee Pair	d t	SUBTOTAL (3)	Г	(\$)	0.00
**or питber previously paid, if greater, For Reissues, see below								0.00
SUBMITTED BY	- Y -			7	Co	nplete (it	applical	ble)
Name (Print/Туре) Tarek N. Fahmi		egistration tomey/Age		4	1,402 Telephone	(40	8),947	,-8200
Signature )//					Date	10/	rela	3